

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Attorney Docket No. 1038.002

In re application of: Christopher R. Hall and Joseph L. Welsh

Serial No: Not yet assigned

Art Unit: Not yet assigned

Filed: Not yet assigned

Examiner: Not yet assigned

For: Method and System for Enabling Workers to Communicate Anonymously with Their Employers

JCS96 U.S. PTO
09/911041
07/23/01

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof.

Certification Under 37 CFR § 1.97(e)

- [] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Fee Under 37 CFR § 1.97(c)

- [] The fee for submission of an IDS under § 1.97(c) of \$180.00 set forth in § 1.17(p) accompanies this statement.

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Certification Under 37 CFR 1.10

"Express Mail" Mailing Label No. EL875316836US Date of Deposit July 23, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Gerry Marrero
(Name of person mailing)

Gerry Marrero
(Signature of person mailing)

Petition Under 37 CFR § 1.97(d)

- ☐ This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

Provision of Copies of References

- ☒ A copy of each of the listed references is enclosed herewith and forms a part hereof.
- ☐ A translation of each of Reference(s) is enclosed herewith and forms a part hereof.
- ☐ A copy of the International Search Report from a corresponding PCT application is enclosed herewith.
- ☐ The above-captioned U.S. patent application is a
- ☐ continuation
 - ☐ divisional
 - ☐ continuation-in-part
- application of U.S. application serial number , filed on as Attorney Docket No. . Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.

Authorization To Charge Deposit Account

- ☒ Authorization is given to charge Deposit Account 50-0782 for any fee deficiency under § 1.97(c) and § 1.17(p).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered.

Respectfully submitted,

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Enclosures

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

1038.002
APPLICANT

Not yet assigned

Christopher R. Hall and Joseph L. Welsh
FILING DATE GROUP

Not yet assigned

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,509,064	04/1996	Welner et al.	379	265	
	AB	5,689,799	11/1997	Dougherty et al.	455	2	
	AC	5,884,272	03/1999	Walker et al.	705	1	

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)